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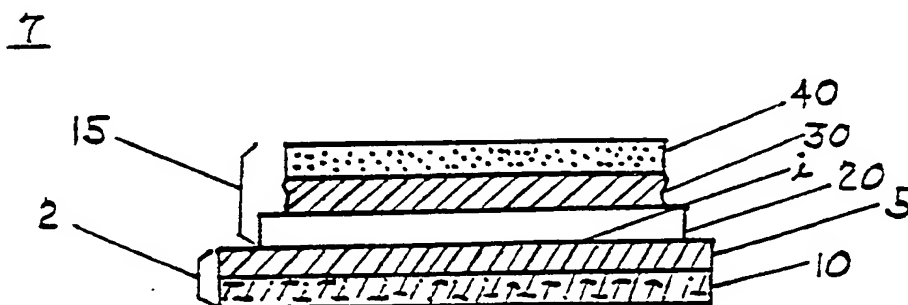
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(54) Title: HEAT TRANSFERABLE LAMINATE



(57) Abstract

An improved release system for heat transferable laminates wherein a transferable substrate which contains a design layer is transferred from a carrier web onto an article such as a plastic bottle or container upon application of heat and pressure. The carrier web includes a nonwax polyethylene layer. The improved release system includes a polyethylene layer portion (5) of the carrier web (2) in contact with and adhered to a nonwax transfer layer portion of the transferable substrate (15). The transferable substrate including the ink design layer transfers to the article upon application of heat to the carrier while the article contacts the laminate. The transferred substrate with design layers on the article is clear and exhibits improved scuff and abrasion resistance.

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HEAT TRANSFERABLE LAMINATEBACKGROUND

This application is a continuation-in-part of application Serial No. 181,090 filed April 13, 1988.

1. Field of the Invention

The present invention relates to a heat transferable label and improved release composition therefor.

2. Description of the Prior Art

Prior art heat transferable labels for imprinting designs onto an article typically involve decorative laminates consisting of a paper base sheet or carrier web coated with a wax or polymeric release layer over which a design is imprinted in ink.

U.S. Patent No. 3,616,015 (Kingston) is illustrative of the prior art. In U.S. Patent No. 3,616,015 a label-carrying web, such as a paper sheet, includes a heat transferable label having a wax release layer affixed to a surface of the paper sheet and an ink design layer superimposed onto the wax release layer. In the heat transfer labeling process for imprinting designs onto articles, the label carrying web is subjected to heat and the laminate is pressed onto an article with the ink design layer making direct contact with the article. As the web or paper sheet is subjected to heat the wax layer begins to melt and allows the design layer to transfer to the article. A portion of the wax release transfers to the article along with the design image. After transfer of the design to the article, the paper sheet is immediately removed leaving the design firmly affixed to the surface of the article with the wax layer exposed to the environment thereon. The wax layer thus serves two purposes in that provides release of the transferable label from the web upon application of heat to the web and also forms a clear

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protective layer over the transferred ink design. After transfer of the label to an article, the transferred wax release layer is typically subjected to post-flaming which produces an optically clear protective layer over the ink design and enhances the protective properties of the transferred wax release.

The additional step involving post-flaming is accomplished by subjecting the transferred wax layer to jets of high temperature gas either as direct gas flame or as hot air jets to produce wax surface temperatures of about 300 to 400°F. for a period of time sufficient to remelt the wax coating without substantially heating the article to which the label has been transferred. Upon cooling of the remelted wax coating through use of ambient or forced-cooled air, the cooled wax layer solidifies to form a clear, smooth protective coating over the ink design.

Although the heat transferable label disclosed in this reference may be utilized for decorating a wide variety of different articles, typically plastic bottles, there is a degree of hazing or "halo" noticable over the transferred label when the transfer is made onto clear plastic materials, despite use of post-flaming. The "halo" effect is caused by transfer of a portion of the wax release layer from the paper carrying sheet and onto the article along with the ink design layer. Although the transferred wax layer has the beneficial effect of providing a protective coating over the transferred ink design, the nature of the wax coating is such that it provides some halo around the outer borders of the transferred ink design layer. Although wax based release layers have produced optically clear protective layers over the ink design and provide a high degree of protection for the transferred ink design, they are nonetheless subject to scuffing and abrasion because of the inherent nature of the wax material.

U.S. Patent No. 3,922,435 (Asnes) discloses a heat transferable label which is directed to replacing the wax based release layer with a nonwax resin thus avoiding the

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"halo" effect long associated with the use of wax based compositions. Asnes refers to this type of release layer as a dry release since it does not transfer to the article along with the ink design layer when heat is applied to the heat transferable laminate as the laminate is in contact with the article. In a preferred embodiment this reference discloses a dry release layer composed of a thermoset polymeric resin to impart to the layer in which it is present a softening temperature substantially greater than the temperature of the dry release transfer temperature, which is typically about 300 to 450°F, as disclosed in this reference. Preferred thermoset resins for the dry release layer disclosed in this reference are cross linked resins selected from the group consisting of acrylic resins, polyamide resins, polyester resins, vinyl resins and epoxy resins. The release layer, preferably composed of a thermoset resin, is overcoated with a lacquer layer which is in turn coated with the design print and then an adhesive overlayer. This reference teaches that the lacquer layer over the dry release layer also should have a softening temperature above the dry release heat transfer temperatures. (Col. 5, lines 58-60).

Although this reference is directed to use of thermoset resins for the dry release layer, the reference does state that certain thermoplastic resins, such as polypropylene can be used for the release layer so long as they have a softening temperature well above the temperature of the dry release transfer heat, that is, well above the range between 300 to 450°F. (See Col. 4, lines 49-53). In this connection this reference teaches that the use of polyethylene for the dry release composition has proved to be unsuitable. Asnes states that polyethylene "tend(s) to soften under heat transfer conditions, e.g., 300°F-450°F, more usually 325°-400°F, required for commercially practical dry release heat transfer. This reduces the cohesion thereof and increases the adhesion thereof to the lacquer layer. As a result, during stripping, some of the

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polyethylene...is apt to be removed at least in some areas with the lacquer layer and design print, which remain adhered to the transferred surface by the heat activated adhesive, i.e., the cohesion of the resinous release layer is apt to be reduced at least in certain areas below the increased adhesion in those areas between the release layer and lacquer layer, and as a result, the break between the release and lacquer layers is not dependably and uniformly clean." (Col. 1, line 64 to Col. 2. line 10). This is a clear teaching against use of polyethylene as a composition for the dry release layer.

This well documented problem associated with the use of polyethylene for the release layer has long discouraged investigators in the art in attempting to employ polyethylene as a dry release composition for use in heat transferable labels wherein the required hot platen temperatures are in the conventional range between 300°F. to 450°F. It should be noted that this range of required platen temperature has in measure been set by the availability and use of conventional heat activatable adhesives which are used to overcoat the ink design layer or included in the ink design layer. Conventional heat activatable adhesives, which have been found to be suitable for use in this technology have required a platen temperature heat source in the range between about 300°F. to 450°F. The teaching of this reference is that when subjected to the required heat between 300°F. to 450°F to effect label transfer, the polyethylene becomes instantly more adhesive and less cohesive, thus preventing attainment of a uniformly clean release. This is a clear teaching against the use of polyethylene for the release composition. These teachings are representative of this long standing problem associated with the use of polyethylene as the dry release composition in applicant's art. Such teachings discourage the use of polyethylene as a dry release composition for commercially acceptable heat transfer labels.

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Accordingly, it is an object of the present invention to provide and improve nonwax based release system for heat transferable laminates which permits transfer of an ink design image from a carrier web to an article, in particular to a plastic article.

It is an important object to provide a release system which provides a protective coating over the transfer ink design image such that the transferred image shows improved resistance to abrasion and scuffing while maintaining a high degree of optical clarity.

It is a further object to provide an improved release system and heat transferable laminate for use in transfer of a design image from a carrier web to a plastic article wherein the problem of wax "halo" around the transferred image has been eliminated. A related object is to provide an improved release system for heat transferable laminates which provides a protective coating for the transferred image which is also resistant to common solvents.

#### SUMMARY OF THE INVENTION

In accomplishing the foregoing and related objects the invention provides a heat transferable laminate having an improved release system. The heat transferable laminate of the invention includes a carrier sheet typically of paper and a transferable substrate affixed to the carrier sheet. The carrier sheet includes a nonwax release layer coated or extruded over the paper sheet. The nonwax release layer is advantageously polyethylene. The transferable substrate is formed of a nonwax lacquer transfer layer, an ink design layer over the lacquer coating transfer layer and a heat activatable adhesive layer over the ink design layer. The transferable substrate is formed by coating each one of these layers in turn beginning with the lacquer coating transfer layer over the polyethylene release layer of the carrier web to form a composite laminate.

The preferred release system of the invention is composed of the polyethylene release layer of the carrier

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and the lacquer transfer layer of the transferable substrate. The polyethylene release layer and the lacquer coating transfer layer are in direct contact with each other. As heat and pressure are applied by a heat source to the composite laminate in contact with an article such as a plastic container, the transferable substrate releases cleanly from the polyethylene release layer of the carrier and transfers to the article, typically a plastic bottle or container being decorated. The heat source applied is typically a heated metal platen or heated platen roller having a surface temperature between about 275°F to 425°F. The release system of the invention has the property that on application of the heat source to the exposed side of the carrier sheet while the transferable substrate is in contact with an article, the transferable substrate releases cleanly from the carrier to the article without taking with it any discernible portion of the polyethylene release layer. The resulting transferred substrate on the article shows a clear transferred design image adhered permanently to the article. The transferred design image is protected by the lacquer coating transfer layer which also transferred to the article. The transferred lacquer coating layer covers the design image and provides a clear protective coating which affords markedly improved abrasion and scuff resistance for the transferred design image. The degree of abrasion resistance afforded by the transferred lacquer coating is greater than what has heretofore been achieved by use of a wax based release in contact with the carrier. The tough protective coating is clear and glossy and exhibits excellent resistance to attack by household alcohols and common solvents often found in cosmetics and toiletries.

The present release system of the invention has the additional advantage over wax based release systems in that it eliminates the wax "halo" effect around the borders of the transferred design image. The wax "halo" effect eliminated by the present release system of the invention



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has been long associated with wax based release formulations.

It should be recognized that copolymers of ethylene which exhibit the same similar properties as polyethylene with respect to softening temperature range, cohesive strength and change in physical properties as it begins to soften may be employed in place of pure polyethylene. Such copolymers typically have as their major constituent, e.g., greater than 50% by weight ethylene monomer. Thus, "polyethylene" as used herein, and in the claims is intended to be construed as including such equivalents. It has been determined that the addition of certain oils, surfactants and slip agents such as erucimide and oleic acid may be blended into the polyethylene release layer 5 to also enhance its release properties on transfer.

The polyethylene release layer on the carrier sheet may be low, medium or high density polyethylene or blends thereof, preferably high density or medium density polyethylene, more preferably high density polyethylene.

It has also been determined that the polyethylene release layer may be composed of blends of low, medium and high density polyethylene. It has also been determined that the polyethylene release layer may be composed of the newer type polyethylenes such as ultra or very low density polyethylene and linear low density polyethylene or blends thereof. These newer type polyethylenes may also be blended in with the traditional low, medium or high density polyethylenes.

A preferred resin matrix for the lacquer coating transfer layer has been found to be the polyester which is a saturated linear aromatic polyester, preferably a modified polyethylene terephthalate. The lacquer coating transfer layer is prepared by admixing this resin in conventional solvent systems at ambient temperature until a homogeneous solution is achieved. Preferably a nondrying vegetable oil may be added to the lacquer coating mixture. The lacquer transfer coating is printed onto the polyethylene release

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layer by conventional application techniques typically by gravure coating. The lacquer transfer layer is then subjected to convective drying to evaporate the solvents thus leaving a dry transfer coating layer over and in contact with the polyethylene release layer of the carrier. If an oil is added, the amount of oil in the dried lacquer coating layer is relatively small and typically is present in amounts between 1.0 to 15 percent by weight of the dried lacquer coating.

In other preferred embodiments a clean uniform separation between the polyethylene release and the non wax transfer coating is obtained without inclusion of an oil in the transfer coating. In one such preferred embodiment the transfer coating contained an acrylic based resin, preferably ethylmethacrylate. It was found that with this transfer coating the affinity between the polyethylene and transfer coating decreased just the right amount at the moment of transfer to permit a clean uniform transfer of the transferable substrate to the article. This occurred despite the polyethylene softening at the moment of transfer. No discernible portion of either the polyethylene release or transfer coating was left behind on the other during transfer. The acrylic based transfer coating provided a smooth, clear, glossy protective coating. The abrasion and scuff resistance of the transferred substrate was markedly greater than that attainable with release systems containing wax.

#### BRIEF DESCRIPTION OF THE DRAWING

Fig. 1 is an illustration of a preferred embodiment of the composite heat transferable laminate.

#### DETAILED DESCRIPTION

A preferred embodiment of the heat transferable laminate 7 of the invention, as illustrated in Fig. 1, is composed of a carrier web 2 and a transferable substrate 15. The carrier web is composed of a support sheet 10,

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typically of paper overcoated with a nonwax release layer 5. The nonwax release layer 5 is advantageously polyethylene. The transferable substrate 15 as shown in Fig. 1 is composed of a lacquer transfer coating 20 which is overcoated with an ink design layer 30 which is in turn overcoated with an heat-activatable adhesive layer 40.

As heat from a hot platen or hot platen roller is applied to the exposed side of support sheet 10 while the adhesive layer 40 of laminate 7 comes into contact with a bottle or article to be decorated, the transferable substrate 15 separates cleanly from the carrier web 2 and transfers onto the article.

The transferable laminate 7 of the invention has the property that the transferable substrate 15, during transfer to the article, separates cleanly from polyethylene release layer 5 without taking with it any portion of release layer 5. This result is achieved with application of heated platen (not shown) to the exposed side of support sheet 10 wherein the heated platen has an average surface temperature of between about 275°F. to 425°F, preferably between about 300°F to about 425°F. The term "wax" as used herein has its normal dictionary definition as in G. Hawley, The Condensed Chemical Dictionary, Tenth Edition, Van Nostrand Reinhold Co.

The polyethylene release layer 5 is a nonwax layer in that it does not contain waxes.

The polyethylene release layer 5 may be low, medium or high density polyethylene but is preferably medium or high density polyethylene, more preferably high density polyethylene. The polyethylene preferably should not be corona treated. High density polyethylene, is known to have a VICAT softening temperature well below the low end of applicant's typical hot platen temperature range of between 300°F. to 425°F. Low density and medium density polyethylene also have a VICAT softening temperature well below the typical hot platen temperature range of 300°F to 425°F. Even if the hot platen temperature is as low as

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275°F, high density polyethylene, medium density polyethylene and low density polyethylene or blends thereof each have VICAT softening temperature below such platen temperature. Since polyethylene is known to become adhesive or tacky as it approaches its softening temperature, applicants believe that the achievement of a clean separation of transferable substrate 15 at typical hot platen temperatures between 300°F. and 425°F. is wholly unexpected. At typical hot platen temperatures in a range between 300°F to 425°F and at typical decoration speeds of 60 transfers per minute, the label temperature, i.e., the polyethylene layer 5 temperature may typically be about 250°F and higher as measured with an infrared pyrometer. These label temperatures are within or above the VICAT softening temperature range of even high density polyethylenes. It was unexpected that clean release of transferable substrate 15 from carrier web 2 within the aforestated hot platen temperature range could be achieved without taking any portion of the polyethylene layer 5 along with transferable substrate 15 during transfer of substrate 15 onto the receiving article.

It should be appreciated that low density polyethylene, e.g., with density in range of 0.91-94 is partially (50 to 60%) crystalline with a solid melting point at about 115°C (239°F). (See F. Billmeyer, Textbook of Polymer Science, 2nd Ed. 1971, pp. 380-382). Low density polyethylene characteristically contains branched chains. High density polyethylene by contrast is essentially linear and is highly crystalline (over 90% crystalline) and has a density in the range of 0.95 to 0.97 and a melting point above 127°C and typically about 135°C (275°F). (See, F. Billmeyer, Textbook of Polymer Science, 2nd Ed. 1971, pp. 385-386).

The softening temperature of polyethylene, in general any polymer, is less than its melting point. The softening temperature (VICAT test, ASTM D1525) of low density polyethylene for example is about 88°C to 100°C (190°F to 212°F), medium density polyethylene about 99°C to 124°C

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(210°F to 255°F) and high density polyethylene about 112°C to 132°C (234°F to 270°F). The Polymer Handbook, 2nd Ed., J. Brandrup et al, 2nd edition, John Wiley & Sons, (1975) p. v-21. The above reported softening temperatures of a polyethylene were determined by the well known VICAT test (ASTM D1525) wherein an indenter under fixed load penetrates a specified distance into the material.

Applicant has observed that each grade of polyethylene, i.e., low, medium or high density polyethylene, exhibits a change in physical properties, i.e., a "softening effect" and accompanying increase in adhesiveness at temperatures which are somewhat below the VICAT softening temperature. Specifically, applicant has observed that high, medium and low density polyethylene each (and any blend thereof) exhibits a change in physical property and becomes tacky and adhesive as it is heated to temperatures between 200°F to 230°F and becomes even more tacky and adhesive at higher temperatures. Low density polyethylene begins to show a "softening effect" and increase in adhesion even at temperatures somewhat below 190°F.

Thus, softening effect of even high density polyethylene occurs at temperatures below the VICAT softening temperature and at least in the range between about 200°F to 230°F wherein the high density polyethylene becomes adhesive and tacky. Thus, the term "softening effect" as used herein shall include the range of temperatures somewhat below the VICAT temperature wherein the polyethylene exhibits said change in physical property, e.g., increase in adhesiveness or tack. The term "begins to soften" as well as the term "soften" as used herein and in the claims shall be construed to include the temperature wherein the above defined "softening effect" is first discernible by tactile observation or by standard ASTM laboratory tests for determination of increase in adhesiveness or tack of polymeric material.

Likewise in applicant's preferred system the lacquer coating transfer layer 20 has the property that during heat

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transfer at the typical platen temperatures between 300°F. to 425°F., transferable substrate 15 separates cleanly from the polyethylene release layer 5 without leaving behind on polyethylene release layer 5 any discernible portion of the lacquer coating release 20.

The heat transferable laminate of the invention satisfies a number of additional requirements simultaneously. The nonwax polyethylene release layer 5 is easily coated onto the support sheet 10 by conventional extrusion or coating methods. Coating of the lacquer transfer layer 20, ink design layer 30 and the heat activatable adhesive layer 40 is readily accomplished in sequence by employing gravure methods, but other printing methods such as letter press, flexographic, or screen printing methods are also suitable.

Clean separation of the transfer coating 20 from the polyethylene layer 5 is achieved without leaving any discernible portion of either layer on the other when the heated platen or heated platen roller temperature is in the range between about 275°F to 425°F, typically 300°F to 425°F, and preferably 275°F to 350°F. This is considered a surprising result.

A preferred embodiment of the release system of the invention, which is the combination of polyethylene release layer 5 in contact with lacquer transfer coating 20, has the important additional property that it fully eliminates the problem of wax "halo" around the border of the transferred design image, which problem has been long associated with wax based release formulation.

The problem of the wax halo effect is eliminated by the release system of the present invention since no discernible portion of the polyethylene release layer 5 remains adhered to transferable substrate 15 as the substrate 15 transfers onto the receiving article. This avoids a long-standing problem associated with wax base release layers which have a tendency to form a wax halo effect around the border of the transferred ink design image since a portion of the wax

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release transfers to the article along with the ink design.

Upon transfer the lacquer coating layer 20 forms a tough clear protective coating over the ink design layer 30 on the receiving article. The transferred protective coating 20 shows marked improvement in abrasion and scuff resistance than that which has heretofore been achieved by wax based release layers, for example, of the type described in U.S. Patent No. 3,616,015.

The present release system of the invention provides a protective coating, namely coating layer 20 over the transferred ink design layer 30, having such marked improvement in abrasion resistance that if one were to attempt to scratch the surface with one's finger nails using moderate pressure no discernible scratch marks or abrasions would be left behind on the protective layer 20 covering ink design layer 30 on the article. This degree of abrasion resistance is quite difficult to achieve using a wax base release formulation even though improvements to wax base release formulation have been made. Although a wide range of plastic articles can be used as the receiving surface, especially good results are obtained with rigid relatively smooth plastic containers of any shape or curvature, typically flat, cylindrical, oval, tapered and various other shapes. These plastic articles may typically be high density polyethylene, polypropylene, polystyrene and polyvinylchloride, however, most other common plastics may be employed for the receiving article irrespective of whether they are thermoplastic or thermosetting.

The present invention has the added advantage that it does not require heat transfer operating temperatures which depart from conventional platen temperature between about 300°F and 425°F for transfer of design imprinted heat transferable substrates onto plastic articles. Additionally, in the present invention the platen temperature may be as low as about 275°F. Thus, the release system of the present invention may be employed with conventional decorator apparatus as, for example, eluded to

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in U.S. Patent 3,616,015. When a wax based release system is used, post flaming is required. The nonwax base release system of the present invention additionally eliminates the requirement for post-flaming the lacquer transfer layer 20, i.e., the protective layer, after the transferable substrate 15 transfers onto the article.

Although post flaming can be employed to improve the durability of the transferred substrate 15 on the article, it is not required.

The elimination of the requirement of the post-flaming step is an additional improvement over the processing required when conventional wax base release layers, for example, as described in U.S. Patent 3,616,015 are employed. Such wax base release layers typically require exposure to jets of hot gas either as direct gas flame or as hot air jets for a period of time sufficient to remelt the wax in order to improve the clarity, smoothness and glossiness of the wax based protective coating (formerly the release layer) after the transferable substrate has been transferred onto a receiving article. The elimination of the need for post-flaming is a direct result of the improved release system of the invention which does not employ any waxes in either the polyethylene release layer 5 or lacquer coating transfer layer 20.

With reference to the transferable laminate 7, shown in Fig. 1, the support sheet 10 is typically a paper sheet. It has been determined that it is preferable to use clay-coated paper for sheet 10. This type of paper is commercially available from most large scale paper companies. The clay-coated paper typically of 26 to 40 lbs/ream basis weight (3000 sq. ft/ream) provides a proper smooth barrier coating to prevent the polyethylene release layer 5 from being drawn into the paper and provides a smooth polyethylene surface during the heat transfer process. Other dense, highly calendered papers with sufficient "holdout" having a similar basis weight typically of about 26 to 40 lbs/ream (3000 sq. ft/ream) could also be utilized.



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In a preferred embodiment the release system is composed of the polyethylene layer 5, which is extruded onto the support sheet 10 and a lacquer coating transfer layer 20 which is coated over the polyethylene layer 5. It has been determined that each grade of polyethylene can be used in the context of the present invention, however, it has been found that preferred results are attained when high density or medium density grade polyethylene is employed. A high density of polyethylene release layer 5, which has been determined to give advantageous results in the context of the present invention, is one having a Sp. Gr. of 0.948 and a melt index of 11.0 gms per 10 min.

Although the present invention is not intended to be limited to basis weight of the polyethylene release layer 5, it has been found advantageous to extrude polyethylene of basis weight between about 10-15 lbs./ream (3,000 sq. ft. per ream) onto the support sheet 10. The polyethylene release layer of lower than about 10 lbs. per ream basis weight will tend to be unsuitable because there will be inadequate smoothness of the polyethylene layer for printing the design on it and insufficient film integrity during the heat transfer process. Polyethylene film of much greater than 15 lbs per ream would add needlessly to the cost of the laminate and also may interfere with the required rate of heat transfer to the polyethylene release layer 5 and the lacquer coating transfer layer 20. A typical high density polyethylene, for example, is one having a Sp. Gr. of 0.948 and melt index of 11.0 gm per 10 min (ASTM D-1238) and known VICAT softening temperature of about 121°C. The high density polyethylene is most preferred although medium density polyethylene as well as low density polyethylene may be used for release layer 5. The medium density grade however is more preferable than low density grade. Medium density grade polyethylene has a known VICAT softening temperature between about 99°C to 124°C (210°F to 255°F) and low density polyethylene typically has a VICAT softening point between about 88°C to 100°C (190°F to 212°F). It

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should be noted that a softening temperature of the most preferred polyethylene, namely high density polyethylene for release layer 5, has a VICAT softening temperature of 112°C to 132°C (234°F to 270°F) which is well below the low end of the hot platen operating temperature range between 300°F. and 450°F. as stated in the foregoing.

The lacquer coating transfer layer 20 which has been determined to produce all of the above stated results preferably does not contain any wax and may be composed of the combination of a polyester resin with relatively small amounts of a nondrying oil, preferably a nondrying vegetable oil of the castor oil type as classified in the International Critical Tables, Vol. 2, 1st Ed., 1927, page 201. The class of acceptable oils may be broadened to include, e.g., nondrying vegetable oils of the rape oil type as well as nondrying animal oils, both of these latter classes also recited in International Critical Tables, Vol. 2, 1927, at page 201. After transfer of the transferable substrate 15 to the receiving article, no discernible portion of the transfer layer 20 remains in contact with the nonwax release layer 5 and no discernible portion of the nonwax release layer 5 will be found in contact with the transfer layer 20.

The preferred class of oil that may be included in the formulation of the lacquer transfer layer 20 along with the polyester resin is a nondrying vegetable oil of the castor oil type. The preferred oil, which has been found to give the most advantageous results for use in the lacquer transfer layer 20 in combination with a polyester resin has been determined to be castor oil. Castor Oil itself is largely composed of glycerides of ricinoleic acid and glycerides of isoricinoleic acids and these glycerides thus may be substituted for the castor oil in the preferred formulations for the lacquer coating transfer layer 20 (Table 1). Nondrying vegetable oils of the rape oil type are believed suitable and may be substituted for castor oil as well. These oils typically contain glycerides of rapic

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acid and glycerides of erucic acids and thus these glycerides may be substituted for the castor oil for the formulations for the lacquer coating transfer layer 20 (Table I). It was found when the lacquer transfer layer 20 had as principal components a polyester resin with relatively small amounts of castor oil, preferably of AA USP refined grade, an unexpected result occurred when heat from a hot platen operating between about 275°F to about 425°F was applied to the heat transferable laminate 7 in contact with a receiving article. (A preferred castor oil is of AA USP refined grade having an acetyl value of 146 to 151 and saponification value of 175 to 183.)

As aforesaid, it has been found that the polyethylene release layer 5 separates cleanly and instantly from the lacquer coating transfer layer 20 thus enabling the transferable substrate 15 to adhere to the receiving article, while the receiving article is simultaneously in contact with the exposed adhesive layer 40. It is not known with certainty why the present release system employing the preferred lacquer coating 20 having small amounts of nondrying oil present therein results in clean, instantaneous release of the polyethylene release layer 5 therefrom. The clean instantaneous release of the polyethylene release layer 5 from transferable substrate 15 is all the more surprising since it is known that polyethylene (even high density polyethylene) tends to "soften" and become more adhesive, and even tacky under application of hot platen operating temperatures typically between 300°F and 425°F and even at platen temperatures as low as about 275°F.

It is also theorized that the polyethylene release layer 5 which exhibits a "softening effect" and increase in tack at the moment of transfer of substrate 15 therefrom, in some yet not fully understood manner causes the lacquer transfer coating 20 to more uniformly conform to the surface of the receiving article. This results in clean, uniform transfer of lacquer transfer coating 20 without any air

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pockets being trapped between transfer substrate 15 and the surface of the receiving article. It is theorized that the softened polyethylene layer 5, at moment of transfer of substrate 15, helps transfer substrate 15 to be forced into tight surface conformity with even rough container surfaces.

It is postulated that because the polyethylene becomes sufficiently soft upon application of the platen roller to laminate 7, there is created a squeegee effect which expels air from the interface between the adhesive layer 40 and the article during transfer.

It will be appreciated that the lacquer coating transfer layer 20 employing polyester resin and nondrying oil preferably of the castor oil type is initially coated typically by gravure methods onto the polyetheylene layer 5. The lacquer coating transfer layer 20 and similarly the ink design layer 30 and adhesive layer 40 are initially resin solids dissolved in solvents to form liquid mixtures so they can each in turn be coated by gravure or other conventional printing methods to form the heat transferable substrate 15.

After the lacquer coating, as above described, in solvent base is applied to the polyethylene layer 5, it is subjected to convective drying which is typically carried out at temperatures between about 175°F to 225°F by passing the coated substrate through a convective oven wherein it is exposed to forced hot air to drive off the solvent and form a tough dry coating layer 20. It will be appreciated that after the lacquer coating release layer 20 is applied and dried in this manner the ink design layer 30 is then applied and dried and in turn the adhesive layer 40 is then applied and dried in like fashion.

After the lacquer coating transfer layer 20 is dried, it is theorized that the castor oil molecules are held in uniformly dispersed form evenly throughout the dry lacquer coating 20. It is theorized that when a hot platen operating at conventional average surface temperatures between 275°F to 425°F, typically 300°F to 425°F is applied

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to the exposed side of support sheet 10, the castor oil molecules immediately become activated and tend to migrate through the thickness of the lacquer coating layer 20. It is theorized that the oil molecules instantaneously migrate to the interfacial surface (i) between the lacquer coating transfer layer 20 and polyethylene release layer 5, thus instantly lubricating said interfacial surface (i).

The mechanism is not fully understood, but it is theorized that when the oil molecules in lacquer coating 20 migrate to the interfacial surface (i) between layers 20 and 5 their lubricating effect promotes a clean release, that is a clean separation between the dried lacquer coating 20 and the polyethylene release layer 5. In the context of a preferred embodiment it is believed that even though the polyester or other resin binder, e.g., acrylic binder component, in lacquer coating 20 is, itself, somewhat incompatible with polyethylene layer 5, a clean release is more likely achieved under typical platen operating conditions between 300°F to 425°F if the nondrying oil is added to the lacquer formulation. The lubricating effect apparently accomplished by the migration of the aforementioned non drying oil molecules to interfacial surface i is sufficiently high and sufficiently instantaneous and uniform to overcome the increase in adhesiveness of the polyethylene layer 5 as the heated platen is applied to the exposed support sheet 10.

Preferred formulations for the nonwax release layer 5 and lacquer coating transfer layer 20 are illustrated in Table I.

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TABLE I

FORMULATION A	A-1 Wt%	A-2 Wt%
<u>NON WAX RELEASE LAYER (5):</u>		
High Density Grade Polyethylene (e.g., Sp.Gr. 0.948 and Melt Index of 11.0 gms per 10 min (ASTM D-1238)	<u>100</u>	<u>100</u>
Total	100	100
<u>LACOUER COATING TRANSFER LAYER (20):</u>		
Resin Binder (Matrix) (e.g., Polyester VITEL PE-200)	29.0	29.0
Castor Oil (AA U.S.P. refined grade)	0.7	1.5
Solvents		
Toluene	7.0	7.0
Methyl Ethyl Ketone	35.3	35.0
Ethyl Acetate	<u>28.0</u>	<u>27.5</u>
Total	100.0	100.0

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TABLE I (Cont'd)

FORMULATION B	B-1 WT%	B-2 WT%
<u>NON WAX RELEASE LAYER (5):</u>		
High Density Grade Polyethylene (e.g., Sp. Gr. 0.948 and Melt Index of 11.0 gms per 10 min (ASTM D-1238)      Total	<u>100</u> 100	<u>100</u> 100
<u>LACQUER COATING TRANSFER LAYER (20):</u>		
Resin Binder (Matrix) (e.g., Polyester VITEL PE-200)	26.5	25.0
Castor Oil (AA USP refined grade)	1.5	4.0
Polymeric Plasticizer (e.g., ESTANE 5715 thermoplastic polyurethane)	1.0	0.5
Toughening Agent (e.g., ELVACITE-2042 polyethylmethacrylate)	1.0	1.0
Solvents		
Toluene	15.0	15.0
Methyl Ethyl Ketone	45.0	44.5
Ethyl Acetate	<u>10.0</u>	<u>10.0</u>
Total	100.0	100.0

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TABLE II

<u>FORMULATION C</u>	<u>WT%</u>
<u>NON WAX RELEASE LAYER (5):</u>	
High Density Grade Polyethylene (e.g., Sp. Gr. 0.948 and Melt Index of 11.0 gms per 10 min (ASTM D-1238)	100
<u>LACQUER COATING TRANSFER LAYER (20):</u>	18.6
(e.g., ELVACITE-2042 polyethylmethacrylate)	
Secondary Resin Binder (e.g., VYHH vinylchloride- vinyl acetate copolymer)	4.6
Plasticizer (e.g., Santicizer 160 butyl benzyl phthalate)	2.3
Mar Resistance Additive (e.g., BYK-300 Solution of a polyester modified dimethyl polysiloxane copolymer)	0.3
Solvents	
Methyl ethylketone	55.7
Toluene	<u>18.5</u>
	100.0



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TABLE II (Cont'd)

<u>FORMULATION D</u>	<u>WT%</u>
<u>NON WAX RELEASE LAYER (5):</u>	<u>100.0</u>
High Density Grade Polyethylene (e.g., Sp Gr. 0.948 and Melt Index of 11.0 gms per 10 min (ASTM D-1238)	TOTAL 100.00
<u>LACQUER COATING TRANSFER LAYER (20):</u>	
Primary Resin Binder: (e.g., ELVACITE-2042 polyethylmethacrylate)	14.8
Secondary Resin Binder (e.g., CAB 381-20 cellulose acetate butyrate)	2.5
(e.g., CAB 381-2 cellulose acetate butyrate)	2.5
Plasticizer (e.g., Santicizer 160 butyl benzyl phthalate)	0.3
Mar Resistance Additive (e.g., BYK-300 solution of a polyester modified dimethyl polysiloxane copolymer)	1.0
Solvents	
Methyl ethylketone	59.2
Toluene	19.7
TOTAL	100.0

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As may be seen from the formulations presented in Table I the preferred polyester resin is a saturated linear aromatic polyester, preferably a modified polyethylene terephthalate such as that available under the trademark VITEL PE-200. This particular polyester resin is manufactured and available from the Goodyear Chemical Company of Akron, Ohio. It will be noted that the preferred nondrying oil is castor oil, preferably AA USP refined grade which is readily available in the commercial market from Cas Chem Co., Bayonne, New Jersey. The VITEL resin and castor oil are admixed in a suitable solvent system as shown in each of the formulations in Table I. It will be noted that the castor oil need only be present in relatively very small quantities. It has been found that the castor oil per cent by weight of the dry transfer coating 20 (solvent free basis) should be between about 1.0 percent and about 15 percent by weight. It is thought surprising that the addition of nondrying oil, preferably of the castor oil type in the lacquer coating formulation 20 can promote the release effect between the polyethylene layer 5 and the predominantly polyester lacquer coating layer 20.

As may be seen from Table I, two preferred formulations using the VITEL polyester resins and castor oil lubricant are shown, namely formulations A and B. The formulation A illustrate two formulas with different per cent by weight castor oil which have been found to produce all of the above stated results in a commercial operation involving heat transfer, of heat transferable substrate 15 onto an article under platen operating temperatures of between about 275°F to about 425°F. Essentially the formulation A shows the combination of VITEL polyester and small amount of castor oil in conventional solvent system which includes toluene, methyl ethyl ketone and ethyl acetate which is any one of a number of solvent systems which can be employed to place the VITEL polyester and castor oil in homogeneous solution.

Formulation B shows similar formulation except that other commonplace resins have been added to the VITEL

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polyester and castor oil combination. These additional resins were added in small amounts and they include a polymeric plasticizer ESTANE-5715 which is a ketone or ester soluble elastomeric polyurethane resin available in the form of rubbery pellets from the B.F. Goodrich Company of Akron, Ohio. The formulation B also includes a small amount of a toughening agent such as an acrylic resin toughening agent, e.g., polyethylmethacrylate available under the tradename Elvacite 2042 from the E.I. DuPont deNemours Co., Wilmington, Delaware. The polyester resin VITEL - PE-200 functions primarily as a resin binder or matrix which holds the lacquer coating 20 together in a uniform cohesive coating. Although this polyester has been found to give preferred results in combination with the inclusion of a small amount of nondrying oil, e.g., of the castor oil or rape type, it has been determined that other resins such as acrylics, polyamides and vinyls which are known binders and are sufficiently incompatible with polyethylene may also be employed. However, a small amount of the nondrying oil such as the castor oil or rape oil type may be added to these resins to yield improved release properties during heat transfer.

Specifically, the acrylic resins found to be suitable for lacquer transfer layer 20 when release layer 5 is polyethylene are for example polymethylmethacrylate, polyethylmethacrylate, polyisobutylmethacrylate and copolymer blends thereof. The polyvinylchlorides found to be suitable for lacquer transfer coating 20 when release layer 5 is polyethylene are soluble vinyls such as copolymers of vinylchloride and vinylacetate and homopolymers of polyvinylchloride. Other resins which can be used for the lacquer transfer coating 20 in the context of the present invention while employing polyethylene for release layer 5 are polyurethanes, polysulfones and fluorocarbons such as polyvinylidene fluoride and fluorinated polyether.

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The addition of a small amount of toughening agent, such as acrylic resin to the lacquer coating release 20, namely the addition of polyethylmethacrylate resin causes an increase in the hardness of the dried lacquer release layer and from that standpoint is a desirable additive. The addition of a polymeric plasticizer such as ESTANE, which is a thermoplastic urethane elastomeric resin, causes an increase in flexibility to the dried lacquer coating release layer 20 to make the transferred layer 20 on the article somewhat less subject to cracking if the article is severely bent or distorted. The addition of a toughening agent such as a polyethylmethacrylate, e.g., Elvacite-2042 or a polymethylmethacrylate resin, and the addition of a polymeric plasticizer such as Estane resin are regarded as optional additions to the preferred formulation.

Other preferred formulations for non wax release layer 5 and lacquer coating transfer layer 20 are illustrated in Table II. The nonwax release layer 5 for this illustration is the same as that shown in Table I, namely high density grade polyethylene however, the lacquer coating transfer layer is largely an acrylic based system. It should be noted that the formulations shown in Table II does not contain an oil yet transfer substrate 15 releases as well during heat transfer under the same transfer operating conditions as stated herein as applied to the formulation shown in Table I. That is, clean separation of transfer coating 20 from polyethylene layer 5 was achieved without leaving any discernible portion of either layer on the other when the heated platen roller temperature is in a range between about 275°F to 425°F, typically 300°F to 425°F and preferably 275°F to 350°F. The lacquer coating (20) formulations shown in Table II gives marked improvement in abrasion and scuff resistance than that which has heretofore been achieved by wax based release layers as aforesaid, for example, of the type described in U.S. patent No. 3,616,015. On transfer to a receiving article lacquer layer 20 using the formulation shown in Table II provides a

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continuous, smooth glossy transparent protective coating over ink design layer 30 as does the formulation shown in Table I. The lacquer coating (20) formulations shown in Table II appear to have even higher gloss on transfer than that of the formulation of Table I. The higher gloss is a desirable property for most applications and it was not achieved at the expense of other important properties of the laminate as aforementioned. The measured gloss of the transferred substrate 15 using formulations C and D for transfer coating 20 were greater than 85 per cent reflectance, typically 90 per cent measured at a 75 degree angle using a Hunter glossmeter.

As may be seen from the formulations presented in Table II the formulations C and D contain an acrylic based primary resin which is an acrylic ester resin preferably polyethylmethacrylate. A preferred polyethylmethacrylate is available under the trademark ELVACITE 2042 from the DuPont Company.

Formulation C includes a secondary resin, preferably vinyl chloride - vinyl acetate copolymer such as that available under the tradename VYHH vinyl copolymer from Union Carbide Corp. In Formulation C a small amount of an additive to improve mar resistance is included. The preferred additive is available under the tradename BYK 300 from BYK-Chemie of Wallingford, Connecticut. A plasticizer is also included in the formulation. A preferred plasticizer is butyl benzyl phthalate available under the trademark SANTICIZER 160 from Monsanto Co. The mixture is dissolved in a suitable solvent system, for example, employing methyl ethyl ketone and toluene as shown in Table II. The solvents are added in the preferred amount shown in Table II to properly solubilize the mixture to give a good homogeneous mixture and also the proper viscosity so that the solution is readily coatable using gravure coaters. The viscosity of the formulations C and D as measured using the standard Ford cup test is about 22 seconds.

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The acrylic based formulation D in addition to the polyethylmethacrylate primary resin contains a secondary resin, preferably cellulose acetate butyrate. This secondary resin is available under the tradename CAB 381 series resins from Eastman Chemical Products, Inc., of Kingsport, Tennessee. The formulation D also contains a small amount of an additive to increase mar resistance. This additive is preferably polyester modified dimethyl polysiloxane copolymer available under the tradename BYK 300 from BYK-Chemie of Wallingford Connecticut. This additive improves somewhat the abrasion resistance of the transferred label. It tends to give the transferred laminate (15) on the article some measure of slippage which in turn promotes abrasion and mar resistance. A plasticizer is also included in the formulation D. A preferred plasticizer is butyl benzyl phthalate available under the trademark SANTICIZER 160 from Monsanto Company. As in formulation C the components are dissolved in a suitable solvent system as shown in Table II. The solvents are added in the preferred amount shown in Table II to properly solubilize the mixture to give a good homogeneous mixture and proper viscosity to enable coating using gravure coaters.

It is not known with certainty why so clean and uniform a release of transfer substrate 15 from polyethylene release layer 5 is obtained when employing formulations C and D for transfer coating 20 and platen operating temperatures of between 275°F to 425°F, preferably 275°F to 350°F as aforesated. The dried lacquer coating 20 employing formulations C and D appears on testing to be somewhat more stiff and rigid at the moment of transfer than that of formulations A and B but yet flexible enough to transfer. Even though no oil is present in the transfer coating 20 formulations C and D there appears to be just enough drop in the affinity between coating 20 and release layer 5 to bring about the required release as adhesive layer 40 becomes activated. It is considered surprising that so clean and uniform a release between release layer 5 and transfer

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coating layer 20 is achieved when formulations C and D are employed, such that the release between layers 5 and 20 is so clean that no discernible portion of either layer is left behind on the other.

The formulations A and B shown in Table I are prepared under ambient conditions by simply blending the various components while stirring in a motor driven stirrer. It has been found advantageous to first blend the solvents by stirring at ambient temperature for about a minute or until the solution is homogeneous. The polyester VITEL PE-200 may then be added to the solvent mixture at ambient temperature and stirred, for example, for three to four hours using a motor driven mixer until the polyester particles completely dissolve in the solvent mixture forming a homogeneous solution. The non drying oil, e.g., castor oil, may then be added also at ambient temperature using a motor driven blender. The small amount of castor oil added to the formulation need only be blended for about 5 minutes until a homogeneous solution is achieved.

In the case of formulation B, it has been found desirable to add the polymeric plasticizer pellets at a step after the addition of the polyester VITEL. Then it was found desirable to add the toughening agent, e.g., acrylic resin Elvacite and continue blending at ambient temperature and then finally to add the castor oil last and continue blending until the homogeneous mixture containing all of the constituents of formulation B is achieved.

The formulations C and D shown in Table II were also prepared under ambient conditions by simply blending the various components while stirring in a motor driven stirrer. In these formulations it is desirable to add the secondary resin first to the solvent system. After this resin goes into solution or at least becomes nearly dissolved, the other components may be added in any order while continuing to stir at ambient temperature until a homogeneous mixture of all components is achieved.

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As above mentioned, the lacquer transfer coating 20, having the formulation shown in the Tables I and II, may be coated by gravure methods onto the polyethylene layer 5. It is then dried in conventional convective driers, e.g., by passing hot air over the coating at temperatures of between about 225 to 250°F. for one or two seconds or until the solvent in the lacquer coating has evaporated leaving the dried lacquer release layer 20 in contact with and adhered to the extruded polyethylene layer 5.

The ink design layer 30 and heat activatable adhesive layer 40 may be composed of conventional formulation known in the art for use in heat transferable laminates of this type. For example, the ink design layer 30 may be composed of any conventional ink of any color. The ink may typically include resinous binder base compatible with the ink pigment or dye employed. The ink binder may be selected from a wide variety of conventional resinous bases such as polyvinylchloride, acrylics, polyamides, polyesters and nitrocellulose. The ink is applied also by a gravure coating methods or the like and then passed through convective ovens for one or two seconds in order to flash off the solvents and leave a dried ink design layer 30 over the dried lacquer coating transfer layer 20.

It is advantageous in this technology to overlay ink design layer 30 with a heat activatable adhesive coating 40 which facilitates transfer of the transferable substrate 15 to the article to be decorated. The adhesive layer 40 becomes activatable on exposure to the heat from the hot platen in contact with the support sheet 10 during the transfer process. At the transfer temperature the components in adhesive layer 40 become tacky so that there is sufficient adhesion between the article being decorated and the transferable substrate 15 in contact therewith. Adhesive layer 40 is also applied in solvent base by gravure or other conventional coating methods and the solvent is driven off by exposing the coated layer to convective drying for one or two seconds or sufficient time to evaporate the



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solvent leaving the dry adhesive coating layer over the ink design layer 30. Adhesive layer 40 may suitably be composed of a thermoplastic polyamide adhesive, preferably a low temperature heat activatable polyamide adhesive.

A preferred thermoplastic polyamide resin for adhesive layer 40 is the reaction product of a diamine with a dimerized fatty acid such as that available under the tradename VERSAMID 900 Series, or preferably low temperature heat activatable VERSAMID adhesive such as VERSAMID 700 Series, or blends of VERSAMID 700 series with VERSAMID 900 series adhesive from Henkel Corp. of Minneapolis, Minnesota. It has been found advantageous to combine this polyamide constituent with a nitrocellulose base in adhesive layer 40. This type of heat activatable adhesive for this particular application is known and documented in the prior art.

While it is advantageous to overlay ink design layer 30 with a separate heat activatable adhesive coating 40, it is a known and acceptable practice to include the heat activatable resin component, e.g., VERSAMID 900 polyamide or a low temperature heat activatable VERSAMID adhesive into the ink design layer itself. This is suitable when ink covers the whole transferred substrate 15 surface area. In this case, the adhesive layer 40 may be eliminated and the article to be decorated will contact the exposed ink design layer 30 directly.

In the process of applying heat transfer laminate 7 to an article such as a plastic bottle or container at least 60 such articles per minute may be decorated using the formulations for release layer 5 and lacquer coating transfer layer 20 as shown in Tables I and II. It has been determined that with articles, typically plastic bottles, at least between about 60 to 120 articles per minute may be decorated using the formulations for release layer 5 and lacquer coating transfer layer 20 shown in Tables I and II. In this process, as described in the foregoing, as heat from a hot metal platen or hot rubber platen roller is applied to

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the exposed side of support sheet 10 while the adhesive layer 40 of laminate 7 comes into contact with the bottle or article to be decorated, transferable substrate 15 separates cleanly from carrier web 2 and separates onto the article. At decoration speeds of between 60 to 120 bottles per minute the carrier web is in direct and intimate contact with the hot platen for at least about 0.25 seconds. At hot platen surface temperatures, which typically average between 300°F to 425°F and at decoration speeds at least between about 60 to 120 bottles per minute, and direct contact time between platen and laminate of at least about 0.25 seconds, the polyethylene release layer 5 has been measured by infrared pyrometer to have a temperature typically of about 250°F. Successful runs using the formulations shown in Table I have also been made at decoration speeds of at least about 60 to 120 bottles per minute with platen average surface temperatures as low as about 275°F and direct contact time between platen and laminate of at least about 0.25 seconds. Under these latter conditions, i.e., platen average surface temperature of about 275°F, the temperature of the polyethylene layer 5 as measured with an infrared pyrometer may typically be about 230°F. In this preferred process the laminate 7 is first typically preheated to temperatures of between about 175°F to 225°F before it is contacted with the aforesaid hot metal platen or hot rubber platen roller. The preheat step is preferably accomplished by passing the laminate 7 over a heated metal surface so that the metal surface contacts the exposed side of support sheet 10 for sufficient time to achieve the desired laminate preheat temperature. Alternatively the laminate 7 could be heated from the adhesive side, for example, by heating with a remote heat source such as an infrared heat source or equivalent and then applying a nonheated or partially heated platen or platen roller to the laminate in contact with the article.

Laboratory test data to date indicate that other transfer coating lacquers (20) may be employed within the

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context of the present invention wherein the release layer 5 is a polyethylene. For example, although the formulation shown in Table I is preferred for system employing a transfer coating 20 containing a polyethylene terephthalate resin binder, e.g., VITEL PE-200, laboratory test data indicate that the system shown in Table I may be employed without the inclusion of an oil in the transfer coating layer 20. The formulation shown in Table I is offered as a preferred formulation but the present invention is not intended to be limited to lacquer transfer coatings (20) which contain an oil. For example, favorable bench scale results have been obtained employing the formulations shown in the following supplemental examples. The transfers made with the formulations recited in these supplemental examples were made in the laboratory by manually applying a hot platen to the laminate while simultaneously applying the receiving article by hand application in contact with the laminate. The release layer 5 in the following supplemental examples is a polyethylene and neither release layer 5 or transfer coating 20 contains a wax. In the following supplemental examples an oil is not included in transfer coating 20.

#### Supplemental Example 1

The release layer 5 was composed of 60 parts by weight of a low density polyethylene (LDPE), 30 parts by weight of a high molecular weight, high density polyethylene (HMW-HDPE) and 10 parts by weight of a low molecular weight, low density polyethylene (LMW-LDPE). The low density polyethylene (LDPE) had a melt flow index of 15 grams per 10 minutes as measured in accordance with ASTM D-1238 test condition E and had a specific gravity of 0.915 (ASTM D-1505). The high molecular weight, high density polyethylene (HMW-HDPE) had a melt index of 0.05 and a specific gravity of 0.95. The polyethylene (HMW-HDPE) provides a polymeric reinforcing filler effect which increases cohesive strength at transfer temperatures. The low molecular weight, low density polyethylene (LMD-LDPE) had a drop point of 226°F

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(ASTM D-5) and a specific gravity of 0.92. The inclusion of the low molecular, low density polyethylene (LMD-LDPE) appears to function as a melt flow plasticizer or internal lubricant which is believed to increase the gloss of transfer layer 20 during the extrusion or casting process. This release formulation was applied at a coat weight of about 7 grams per square meter to support sheet 10 composed of a clay coated Kraft paper having a density of 47 gms per sq. meter.

The transfer coating (20) employed with this composite polyethylene release was composed of a modified polyethylene terephthalate, e.g., VITEL PE-200 in suitable solvent. The transfer coating (20) thus had the formulation shown in Table I formulation A without the castor oil. No oil was added to the transfer coating in place of the castor oil. This transfer coating was overprinted with the ink design layer 30 which in turn was over coated with the heat activatable adhesive layer of the type aforementioned. The preferred heat activatable adhesives are the low temperature polyamide VERSAMID adhesives. Bench scale transfers were made for this laminate at platen transfer temperatures of between about 275°F to 425°F, typically 275°F to 350°F. The transfer substrate 15 released immediately as laminate contacted a receiving article while the support sheet 10 was contacted by the hot platen. The transfer coating 20 released smoothly and cleanly from polyethylene release 5. No discernible portion of either the polyethylene layer 5 or the transfer coating layer 20 was left behind on the other during the transfer process. The transfer coating 20 provided a clear, smooth, uniform and glossy protective coating over the transferred substrate 15 on the receiving article. The gloss of the transferred substrate 15 on the article which was provided by the transferred lacquer coating 20 was measured at 95 per cent reflectance at an angle of 75 degrees using a Hunter gloss meter. These measured gloss values were somewhat higher than the gloss values achieved with the formulation A which employed a

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castor oil in the transfer coating layer. A preferred platen roller temperature for carrying out this transfer was about 285°F.

During transfer at this plating temperature or platen temperature typically between about 275°F to 350°F the release (20) of this example begins to soften, that is undergoes a softening effect. The transfer may be enhanced by a hydraulic squeegee effect which is believed to occur as the hot platen roller presses and traverses the back side of support 10 as the transfer laminate is pressed onto the receiving article. No air bubbles in the transfer coating were discernible to the naked eye during transfer using the formulation of this example. Such air bubbles are often observed when a thinner and harder release is employed which does not soften during the heat transfer process.

#### Supplemental Example 2

A laminate is described in Supp. Example 1 was employed having the same transfer coating formulation. The release formulation contained the same two basic polyethylenes, namely the low density polyethylene (LDPE) the high molecular weight, high density polyethylene (HME-HDPE) but the third polyethylene, that is the low molecular weight, low density polyethylene (LMW-LDPE) was omitted. The polyethylenes were present in the release formulation in a weight ratio of LDPE to HMW-HDPE of about 80 to 20. The coat weight of this release formulation was about 8 gms per sq. meter. The same support sheet 10, same transfer coating lacquer 20 and same heat activatable adhesive layer 40 was used as in Supp. Example 1. The transferable substrate 15 transferred to a receiving article as a platen roller heated to about 320°F was applied to the support sheet as the laminate contacted the article. The transferred coating 20 on the article had a gloss of about 85% reflectance as measured with a Hunter Gloss meter at an angle of 75 degrees. The transfer coating 20 released smoothly and cleanly from the polyethylene release 5. During transfer no discernible portion of either release layer 5 or lacquer

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coating layer 20 was left behind on the other. The transfer coating 20 provided a clear smooth uniform and glossy abrasion resistant protective coating over the transferred substrate 15 on the receiving article.

### Supplemental Example 3

The laminate as in Supp. Example 1 was employed except that the release layer 5 was composed of low density polyethylene (LDPE) which was mixed with an equal amount of a high flow high density polyethylene (HDPE). No other polyethylene was added. The high density polyethylene had a melt index of 18 and a specific gravity of 0.96. The low density polyethylene (LDPE) was the same as that used in Supp. Example 1. This release composition was coated to a weight of 8 gms per sq. meter on a clay coated Kraft paper having a basis weight of 47 grams per sq. meter. The transfer coating layer 20, and adhesive layer 40 were the same as that referenced in Supp. Example 1. At a platen temperature of about 150°C (302°F) the substrate 15 released smoothly and cleanly from the polyethylene release 5. No discernible portion of either the polyethylene release 5 or the transfer coating layer 20 was left behind on the other during transfer. The transferred coating 20 on the article yielded a high gloss of about 82% as measured with a Hunter gloss meter at an angle of 75 degree reflectance. The transferred coating 20 was free of air pockets and provided a clear, smooth, uniform, glossy, abrasion resistant protective coating over the transferred substrate 15 on the receiving article.

Although the invention has been described with the context of particular embodiments for the transferable laminate, the invention is not intended to be limited to the preferred formulations described herein. Although the lacquer transfer coating layer, for example, has been described with reference to preferred formulations, the formulation for the lacquer coating layer is not intended to be limited to these particular species of resin and/or oil

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respectively. It should be appreciated that one may add trace or otherwise non-functional minor amounts of waxes to layers referenced herein as nonwax layers without being outside the scope of applicants' invention. Thus, the term "nonwax layer" or the equivalent as used in the foregoing description is intended to embrace this possibility.

Additionally, the laminate structure is not intended to be limited to the preferred structure described. For example, it is possible to add another coat layer between the transfer coating and ink design layer or between the ink design layer and the adhesive layer.

The invention, therefore, is not intended to be limited to the description in the specification but rather is defined by the claims and equivalents thereof.

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## The Claims:

1. A heat transferable laminate comprising a transfer substrate affixed to a carrier sheet for transfer from the carrier sheet to an article upon application of heat to the carrier sheet while said article contacts the transfer substrate, the carrier sheet comprising a support sheet and a nonwax release layer consisting essentially of polyethylene coated over said support sheet, the nonwax release layer being in contact with said transfer substrate.
2. A heat transferable laminate comprising a transfer substrate affixed to a carrier sheet for transfer from the carrier sheet to an article upon application of heat to the carrier sheet while said article contacts the transfer substrate, the carrier sheet comprising a support sheet and a nonwax release layer consisting essentially of polyethylene coated over said support sheet, the nonwax release layer being in contact with said transfer substrate, said transfer substrate comprising a transfer coating and an ink design layer over the transfer coating, and a heat activatable adhesive layer over the ink design layer, the transfer coating being in contact with said nonwax release layer, said heat transfer laminate having the property that when a heat source is applied to the carrier for sufficient duration so that the nonwax release layer at least begins to soften while said transfer substrate contacts the article said transfer substrate separates cleanly from said nonwax release layer and transfers to said article, the heat transferable laminate having the additional property that no discernible portion of said nonwax release layer is transferred to the article along with said transfer substrate.
3. A heat transferable laminate comprising a transfer substrate affixed to a carrier sheet for transfer from the carrier sheet to an article upon application of heat to the carrier sheet while said article contacts the transfer



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substrate, the carrier sheet comprising a support sheet and a nonwax release layer comprising polyethylene coated over said support sheet, the nonwax release layer being in contact with said transfer substrate, said transfer substrate comprising a transfer coating and an ink design over the transfer coating, and a heat activatable adhesive layer over the ink design layer, the transfer coating being in contact with said nonwax release layer, said heat transfer laminate having the property that when a heat source is applied to the carrier for sufficient duration so that the nonwax release layer at least begins to soften while said transfer substrate contacts the article said transfer substrate separates cleanly from said nonwax release layer and transfers to said article, the heat transferable laminate having the additional property that no discernible portion of said nonwax release layer is transferred to the article along with said transfer substrate,

the transfer coating of said transfer substrate comprising a polyethylene terephthalate polyester resin.

4. A heat transferable laminate as in claim 3 wherein the nonwax release layer consists essentially of polyethylene.

5. A heat transfer laminate as in claim 3 wherein said transfer coating further comprises an oil of the nondrying type.

6. A heat transferable laminate as in claim 5 wherein the nondrying oil is a nondrying vegetable oil selected from the group consisting of nondrying vegetable oils of the rape oil type and nondrying vegetable oils of the castor oil type.

7. A heat transfer laminate as in claim 6 wherein the nondrying oil is castor oil.

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8. A heat transfer laminate as in claim 5 wherein the oil in said transfer coating of the transfer substrate has the property that it becomes activated when heat is applied to the carrier sheet so as to promote the release of the transfer substrate from the carrier.

9. A heat transferable laminate as in claim 3 wherein said heat transfer laminate has the property that when a heated metal platen or heated rubber platen roller having a surface temperature between 275°F and 425°F is applied to the carrier for sufficient duration that the nonwax release layer at least begins to soften while said transfer substrate contacts the article said transfer substrate separates cleanly from said nonwax release layer and transfers to said article.

10 A heat transferable laminate as in claim 3 wherein said heat transfer laminate has the property that when a heated metal platen or heated rubber platen roller having a surface temperature between 300°F and 425°F is applied to the carrier for sufficient duration that the nonwax release layer at least begins to soften while said transfer substrate contacts the article said transfer substrate separates cleanly from said nonwax release layer and transfers to said article.

11. A heat transferable laminate as in claim 3 said transfer coating not comprising a wax.

12. A heat transferable laminate as in claim 11 wherein said laminate has the further property that no discernible portion of the transfer coating remains in contact with the nonwax release layer after the transfer substrate transfers to the article.

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13. A heat transferable laminate comprising a transfer substrate affixed to a carrier sheet for transfer from the carrier sheet to an article upon application of heat to the carrier sheet while said article contacts the transfer substrate, the carrier sheet comprising a support sheet and a nonwax release layer consisting essentially of polyethylene coated over said support sheet, the nonwax release layer being in contact with said transfer substrate, said transfer substrate comprising a transfer coating and an ink design layer over the transfer coating, and a heat activatable adhesive layer over the ink design layer, the transfer coating being in contact with said nonwax release layer, said heat transfer laminate having the property that when a heat source is applied to the carrier for sufficient duration so that the nonwax release layer at least begins to soften while said transfer substrate contacts the article, said transfer substrate separates cleanly from said nonwax release layer and transfers to said article, the heat transferable laminate having the additional property that no discernible portion of said nonwax release layer is transferred to the article along with said transfer substrate,

the transfer coating of said transfer substrate comprising an acrylic ester resin.

14. A heat transfer laminate as in claim 13 wherein said transfer coating comprises polyethylmethacrylate.

15. A heat transfer laminate as in claim 14 wherein said transfer coating further comprises vinyl chloride-vinyl acetate copolymer.

16. A heat transferable laminate as in claim 14 wherein the transfer coating further comprises cellulose acetate butyrate.

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17. A heat transfer laminate as in claim 13 wherein the nonwax release layer consists essentially of polyethylene.

18. A heat transfer laminate as in claim 13 wherein said heat transfer laminate has the property that when a heated platen or heated rubber platen roller having a surface temperature between 275°F and 425°F is applied to the carrier for sufficient duration that the nonwax release layer at least begins to soften while said transfer substrate contacts the article said transfer substrate separates cleanly from said nonwax release layer and transfers to said article.

19. A heat transferable laminate as in claim 13, said transfer coating not comprising a wax.

20. A method of transferring a transfer substrate from a carrier web to an article which comprises the steps of:

(a) depositing a nonwax release layer on a carrier web, said nonwax release layer consisting essentially of polyethylene,

(b) coating a transfer layer over the nonwax release layer and in contact with the nonwax release layer, the transfer layer comprising a polymeric resin selected from the group consisting of a polyethylene terephthalate and polyethylmethacrylate.

(c) coating an ink design layer over the transfer layer,

(d) coating a heat activatable adhesive layer over the ink design layer, the transfer substrate comprising the transfer layer, ink design layer and heat activatable adhesive layer,

(e) applying a heat source to the carrier web while the transfer substrate contacts the article for sufficient duration that the nonwax release layer at least begins to soften and said oil in the transfer layer becomes activated

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while said transfer substrate contacts the article whereupon the transfer substrate separates cleanly from said nonwax release layer and transfers to said article.

21. A method as in claim 20 wherein the heat source is a metal platen or rubber platen roller having a surface temperature between about 275°F and 425°F.

22. A method as in claim 20 wherein the heat source is a metal platen or rubber platen roller having a surface temperature between about 300°F and 425°F.

23. A method as in claim 20 wherein the nonwax release layer consists essentially of polyethylene selected from the group consisting of medium density grade and high density grade polyethylene.

24. A method of transferring a transfer substrate from a carrier web to an article which comprises the steps of:

(a) depositing a nonwax release layer on a carrier web, said nonwax release layer comprising polyethylene,

(b) coating a transfer layer over the nonwax release layer and in contact with said nonwax release layer, the transfer layer comprising a polymeric resin and not comprising a wax,

(c) coating an ink design layer over the transfer layer,

(d) coating a heat activatable adhesive layer over the ink design layer, the transfer substrate comprising the transfer layer, the ink design layer and heat activatable adhesive layer,

(e) applying a heat source to the carrier web while the transfer substrate contacts the article for sufficient duration that the nonwax release layer at least begins to soften, whereupon the transfer substrate separates cleanly from said nonwax release layer and transfers to said article.

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25. A method as in claim 24 wherein the heat source is a metal platen or rubber platen roller having a surface temperature between about 275°F and 425°F.

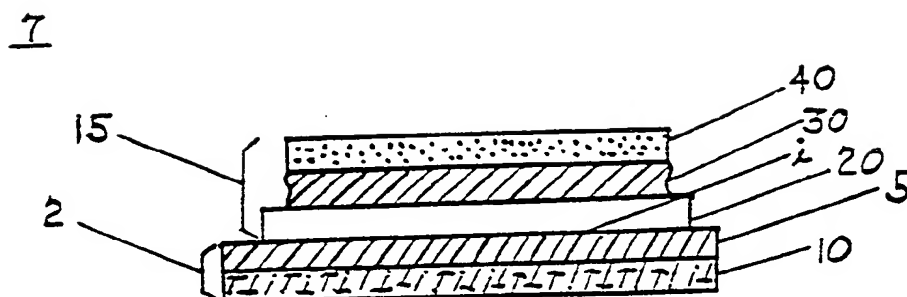
26. A method as in claim 24 wherein the heat source is a metal platen or rubber platen roller having a surface temperature between about 300°F and 425°F.

27. A method as in claim 24 wherein the nonwax release layer consists essentially of polyethylene selected from the group consisting of medium density grade and high density grade polyethylene.

28. A method as in claim 23 wherein the transfer coating comprises a polymeric resin selected from the group consisting of a polyethylene terephthalate and an acrylic polyester.

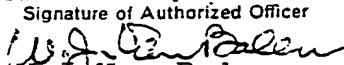
1/1

Fig. 1



# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US89/00258

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC		
Int Cl 4 B32 B7/06		
US Cl 428/201,202,914		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
US	428/201,202,914	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>9</sup>		
Category *	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
X	US, A, 4,704,310 (Tighe) 03 November 1987 Entire Document	1-28
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>* Special categories of cited documents: <sup>10</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p> </div> </div>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search		Date of Mailing of this International Search Report
24 JULY 1989		28 SEP 1989
International Searching Authority		Signature of Authorized Officer
ISA/US		 W.J. Van Balen